PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Date

January 9, 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	097229/0051				
First Inventor	Solomon I. David				
Title	A SYSTEM FOR MONITORING CONNECTION PATTERN OF DATA PORTS				
Examps Mail Labol No.	EL 684 751 847 US				

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Mail Stop Patent Application APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. 🗶 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. X Specification [Total Pages 16 a. Computer Readable Form (CRF) (preferred arrangement set forth below) Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention Brief Description of the Drawings (if filed)
 Detailed Description Statements verifying identity of above copies **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7 37 CFR 3.73(b) Statement Power of 10. (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets \_\_\_ English Translation Document (if applicable) a. Newly executed (original or copy) Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) Certified Copy of Priority Document(s) 15. (if foreign priority is claimed) name in the prior application, see 37 CFR 16. 🔲 Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: Certificate of Mailing by "Express Mail" ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation-in-part (CIP) of prior application No.: 09/525,543 Continuation Examiner Hal D. Wachsman Art Unit: 2857 Prior application information: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS OR Correspondence address below Customer Number: Name Steven B. Pokotilow Stroock & Stroock & Lavan LLP Address 180 Maiden Lane City State Zip Code New York NY 10038 Telephone Country **United States** Fax 212-806-6006 212-806-5400 Name (Print/Type) Registration No. (Attorney/Agent) Charles E. Cantine 43,531

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

EEE TOANS	NAITTAI	Complet if Known			
FEE TRANS	IVIIIIAL	Application Number	Not Yet Assigned		
for FY 2	0004	Filing Date	Herewith		
Effective 10/01/2003. Patent fees are sui		First Named Inventor	Solomon I. David		
		Examiner Name	Not Yet Assigned		
Applicant claims small entity status.	See 37 CFR 1.27	Art Unit	Not Yet Assigned		
TOTAL AMOUNT OF PAYMENT	(\$) 770.00	Attorney Docket No.	097229/0051		

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Check Credit card Money Other None			3. ADDITIONAL FEES						
Deposit	Account:	Order L		<u>Large</u>	Entity	Small	Entity		
Denosit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fac Daid		
Account Number		19-4709		1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit Account	Stroock	& Stroock & Lava	n LLP	1052	50	2052		Surcharge - late provisional filing fee or cover sheet	
Name	Name		1053	130	1053	130	Non-English specification		
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments		1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge any additional fee(s) or any underpayment of fee(s)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
ı –	` •	elow, except for the filing	g fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-id				1251	110	2251	55	Extension for reply within first month	
		CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FI				1253	950	2253		Extension for reply within third month	
Fee Fee	Fee Fee	Fee Description	Fee Paid	1254		2254	740	Extension for reply within fourth month	
	Code (\$) 2001 385	Utility filing fee		1255	2.010	2255	1.005	Extension for reply within fifth month	
	2001 363	Design filing fee	770	1401	330	2401	165	Notice of Appeal	
	2002 170	Plant filing fee		1402	330	2402		Filing a brief in support of an appeal	
	2004 385	Reissue filing fee	<del> </del>	1403	290	2403		Request for oral hearing	
	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	•		70.00	1452	110	2452	55	Petition to revive - unavoidable	
			1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from			1,330	2501		Utility issue fee (or reissue)			
Total Claims	7 -20	Extra Claims below	Fee Paid	1502	480	2502		Design issue fee	
Total Claims		= ===	1503	640	2503		Plant issue fee	$\vdash$	
		1460	130	1460		Petitions to the Commissioner			
Large Entity   Small Entity		1807	50	1807		Processing fee under 37 CFR 1.17(q)	$\vdash$		
Fee Fee	Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	<del></del>
Code (\$) 1202 18	Code (\$) 2202	9 Claims in excess of 2	n	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18	2202 2201 4	•		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 14		•	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86	2204 4	3 ** Reissue independe over original patent	nt claims	1801	770	2801	385		
1205 18	2205	** Reissue claims in e and over original pa		1802	900	1802	900	Request for expedited examination of a design application	
			Other	fee (sp	ecify) _				
SUBTOTAL (2) (\$) 0.00  **or number previously paid, if greater; For Reissues, see above			*Redu	iced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 0.00		
2									

SUBMITTED BY Registration No. Telephone (212) 806-5400 Name (Print/Type) Charles E. Cantine 43,531 (Attorney/Agent) January 9, 2004 Date Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. SSL-DOCS1408650v1

Docket No. **097229/0051 SBP/CEC/KB** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Solomon I. David

Group Art Unit: Not Yet Assigned

Application No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Herewith - January 9, 2004

For: A SYSTEM FOR MONITORING CONNECTION PATTERN

OF DATA PORTS

Date: January 9, 2004

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 C.F.R. § 1.10)

## **Mail Stop Patent Application**

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

- 1. Utility Patent Application Transmittal (1 pg.)
- 2. Fee Transmittal Form (1 pg.) in duplicate
- 3. Patent Application (Specification, Claims, Abstract cover sheet and 16 pgs.; Drawings 7 sheets, Figs. 1-7C)
- 4. Preliminary Amendment to the Accompanying Divisional Application Filed Under 37 CFR 1.53(b) (7 pgs.)
- 5. Acknowledgement Postcard

is being deposited on \_\_\_\_\_\_ y, 2004 with the United States Postal Service for "Express Mail Post Office to Addressee" delivery under 37 C.F.R. § 1.10 in an envelope bearing sufficient postage and addressed to:

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450.

Kara Bonitatibus

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)

EL 684 751 847 US

("Express Mail" Mailing Label Number)